

**North Carolina Security Breach Reporting Form**  
**Pursuant to the Identity Theft Protection Act of 2005**

\*Indicated a mandatory field

\*Name of the Company or Government Agency owning or licensing information affected by the entity experiencing breach:

SHAPIRO & INGLE, LLP

Entity Type: GENERAL BUSINESS  
Address: 10130 PERIMETER PARKWAY  
Apt/Suite/Building: 400  
City: CHARLOTTE  
State: NC  
Zip Code: 28216  
Telephone: (704) 831-2251  
Fax: (704) 831-3251  
Email: JPURSER@LOGS.COM

\*Date Security breach Reporting Form Submitted: 06/13/2014  
\*Date the Security Breach was discovered: 06/10/2014  
Breach Type: RELEASE/ DISPLAY OF INFO  
\*Estimated number of affected individuals: 118  
\*Estimated number of NC residents affected: 118

Name of company or government agency maintaining or possessing information that was the subject of the Security Breach, if the agency that experienced the Security Breach is not the same entity as the agency reporting the Security Breach (pursuant to N.C.G.S. 75-65(b))

Describe the circumstances surrounding the Security Breach: SHAPIRO & INGLE, LLP IS A LAW FIRM PROSECUTING FORECLOSURES IN NORTH CAROLINA. AS PART OF ITS ENGAGEMENT, IT HAS ACCESS TO MORTGAGORS' SOCIAL SECURITY NUMBERS FOR THE PURPOSE OF CONDUCTING BACKGROUND CHECKS AND CHECKING THE MILITARY'S DEPARTMENT OF MANPOWER DATABASE TO ASCERTAIN INDIVIDUALS' MILITARY STATUS TO COMPLY WITH THE SERVICEMEMBERS CIVIL RELIEF ACT ("SCRA"). ON JUNE 10, 2014, AN INTERNAL SCRA REPORT CONTAINING NAMES AND SOCIAL SECURITY NUMBERS WAS INADVERTENTLY EMAILED TO A PARTY OUTSIDE THE FIRM. THE EMAIL WAS INTENDED FOR OUR INFORMATION TECHNOLOGY MANAGER, [REDACTED], BUT WAS SENT TO A MORTGAGOR NAMED [REDACTED]. FOR REASONS THAT ARE NOT CLEAR, OUR ENCRYPTION PROGRAM DID NOT ENCRYPT THE EMAIL, WHICH UNFORTUNATELY PREVENTED US FROM RENDERING THE MESSAGE INACCESSIBLE.

WE CONTACTED THE RECIPIENT TO ASK [REDACTED] DELETE THE EMAIL AND TO SIGN AN AFFIDAVIT INDICATED [REDACTED] DID SO. [REDACTED] DECLINED TO DO SO. WE THEN RETAINED COUNSEL, WHO BROUGHT A LAWSUIT ON THE SAME DAY AS THE SECURITY BREACH TO PREVENT ANY DISSEMINATION OF THE INFORMATION CONTAINED IN THE EMAIL.

GUILFORD COUNTY SUPERIOR COURT JUDGE [REDACTED]  
ENTERED A RESTRAINING ORDER ON THE MORNING OF JUNE 11, 2014  
PREVENTING DISSEMINATION OF THE INFORMATION. THE LAWSUIT IS  
ONGOING, AND WE HAVE EVERY REASON TO BELIEVE IT WILL BE  
EFFECTIVE IN BRINGING ABOUT A SATISFACTORY RESOLUTION.

Information Type: SSN

\*Regarding information  
breached, if electronic, was the  
information protected in some  
manner: NO

If YES, please  
describe the security measures  
protecting the information:

\*Describe any measures taken to  
prevent a similar Security Breach  
from occurring in the future: WE ARE CONSULTING WITH OUR IT EXPERTS ON HOW TO PREVENT  
UNINTENDED EMAILS AND TO ENSURE EMAILS CONTAINING  
CONFIDENTIAL INFORMATION ARE ENCRYPTED.

\*Date affected NC residents were/will  
be notified: 06/13/2014

Describe the circumstances surrounding the delay in notifying  
affected NC residents pursuant to N.C.G.S. 75-65 (a) and (c):

WE DO NOT BELIEVE THERE HAS  
BEEN AN UNREASONABLE  
DELAY. WE WERE PROACTIVE IN  
SEEKING REDRESS IN THE  
COURTS, OBTAINING OUR  
LENDER-CLIENTS' APPROVAL,  
AND CONSULTING WITH  
COUNSEL AND THE AG'S OFFICE.

**If the delay was pursuant to a request from law enforcement pursuant to N.C.G.S. 75-65(c), please  
attach or mail the written request or the contemporaneous memorandum.**

How NC residents  
were/will be  
notified? (pursuant  
to N.C.G.S. 75-65  
(e)):

WRITTEN NOTICE

Please note if the business demonstrates that the cost of providing notice would exceed two hundred fifty thousand dollars (\$250,000) or that the affected class of subject persons to be notified exceeds 500,000, or if the business does not have sufficient contact information or consent to satisfy subdivisions (1), (2) , or (3) of this subsection, for only those affected persons without sufficient contact information or consent, or if the business is unable to identify particular affected persons, for only those unidentifiable affected persons. Substitute notice shall consist of all the following:

- Email notice when the business has an electronic mail address for the subject persons
- Conspicuous posting of the notice on the Web site page of the business, if one is maintained
- Notification to major statewide media

**Please attach a copy of the notice if in written form or a copy of any scripted notice if in telephonic form.**

Contact Information  
Affiliation with entity  
experiencing breach:

ATTORNEY

Organization Name:

SHAPIRO & INGLE, LLP

Prefix:

MR

\*First Name:

JASON

Middle Name:

K.

\*Last Name:

PURSER

Suffix:

Title:

ATTORNEY

Address:

10130 PERIMETER PARKWAY

Apt/Suite/building:

400

City:

CHARLOTTE

State:

NC

Zip Code:

28216

\*Telephone:

(704) 831-2251

Fax:

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JONATHAN BLAKE DAVIS  
WHITNEY MAXWELL TATE

[Date]

[Affected Party's Name and address]

**Re: Notice Pursuant to N.C.G.S. 75-65(d)**  
**Foreclosure Case Number: \_\_SP\_\_, [\_\_\_\_] County**  
**Our File Number:**

Dear [Name]:

We are required to notify you that on June 10, 2014, our Firm suffered a security breach that may affect you. An internal report generated for compliance purposes related to the foreclosure case referenced above, and containing your name and social security number, was inadvertently emailed to a party outside the Firm. We notified the recipient that the email was unintended and contains confidential information. Additionally, we obtained a court order preventing dissemination of your information. The Firm is making every effort to resolve this matter as efficiently as possible.

If you need further information and assistance, you may call John Hine at 704-831-2254.

It is important you remain vigilant in reviewing account statements and monitoring free credit reports. We have listed the toll-free number and addresses for the major consumer reporting agencies below. Additionally, we have listed the toll-free numbers, addresses, and website addresses for the Federal Trade Commission and North Carolina Attorney General's Office, either of which you can obtain information about preventing identity theft.

Equifax  
P.O. Box 740241  
Atlanta, GA 30374-0241  
1-800-685-1111  
[www.equifax.com](http://www.equifax.com)

Experian  
P.O. Box 2104  
Allen, TX 75013-0949  
1-888-EXPERIAN (397-3742)  
[www.experian.com](http://www.experian.com)

Trans Union  
P.O. Box 1000  
Chester, PA 19022  
1-800-916-8800  
[www.transunion.com](http://www.transunion.com)

Federal Trade Commission  
600 Pennsylvania Avenue, NW  
Washington, DC 20580  
Telephone: (877) 382-4357  
[www.ftc.gov](http://www.ftc.gov)

**North Carolina Attorney  
General's Office**  
9001 Mail Service Center  
Raleigh, NC 27699-9001  
Telephone: (877) 566-7226  
[www.ncdoj.gov](http://www.ncdoj.gov)

Sincerely,

Shapiro & Ingle, LLP